

# SUBSTITUTE SPECIFICATION



## SUBSTRATE PROCESSING APPARATUS AND SUBSTRATE PROCESSING METHOD

### BACKGROUND OF THE INVENTION

#### 5 Field of the Invention:

The present invention relates to a substrate processing apparatus and a substrate processing method, and more particularly to a substrate processing apparatus and a substrate processing method which are useful for removing a metal, organic  
10 materials such as a resist material and etching residues, particles, and the like adhering to a surface of a substrate, such as a semiconductor wafer.

The present invention also relates to a substrate processing apparatus, and more particularly to a substrate processing apparatus useful for performing wet etching by  
15 supplying a predetermined etching liquid (processing fluid) to a front surface and/or a back surface of a substrate, such as a semiconductor wafer, a glass substrate and a liquid crystal panel.

#### 20 Description of the Related Art:

A high degree of cleanliness is required in a process for manufacturing a semiconductor device, and a cleaning technique for removing submicron level contamination is increasingly important. In particular, there is a demand for a new technology  
25 that can respond to new materials and processes which are being introduced into manufacturing of a semiconductor device as semiconductor devices become finer and more highly integrated.

New materials that are becoming to be used for

semiconductor devices include copper (Cu), ruthenium (Ru), cobalt (Co), platinum (Pt), and the like. Of these, copper is likely to cause metal contamination. It is therefore necessary that extra copper remaining on a substrate be completely removed.

5 Copper is difficult to remove by a conventional RCA cleaning method, and is generally removed by using a HF-based processing liquid. Further, although most metals can be removed by a cleaning method using ozone water ( $O_3$ ), it is difficult to completely remove copper.

10 With a trend toward semiconductor devices of finer structure, it is a recent tendency to use a low-k material as an insulating film. A new cleaning technology associated with use of low-k material is now desired which can remove organic materials, such as polymer and etching residues, remaining on  
15 a substrate after etching of a low-k material, or can clean interiors of fine contact holes (interconnect holes) formed in the low-k material. Because of a very small diameter of fine contact holes, poor cleaning in contact holes has been a problem. In addition, because of a trend toward finer contact holes as  
20 well as water repellency of a low-k material, cleaning of interiors of contact holes is becoming more and more difficult.

An etching treatment using an  $O_2$  plasma or the like can cause damage to a low-k material after formation of interconnect holes. A demand therefore exists for a new resist peeling  
25 processing that is performed in a wet manner. Further, with use of the above-described new materials and progress toward finer semiconductor devices, a semiconductor device manufacturing process itself is changing. This requires realization of a new

cleaning technology that can respond to changes in the manufacturing process. For example, with use of new resist materials and changes in an etching process, there is likelihood that adhesion of a polymer or resist residues to an underlying material, such as an insulating film, will become stronger. It is considered that such polymer or resist residues will be removed with difficulty by conventional cleaning techniques.

Further, also with respect to cleaning around gates as a pre-cleaning step, it is expected that with progress toward finer devices and the use of new materials, removal of a metal, organic material and particles as well as prevention of re-adhesion thereof after their removal will become increasingly difficult.

Wet etching of a substrate, such as a semiconductor wafer, by using an etching liquid is performed for etching, for example, a silicon-based film, such as poly-silicon,  $\text{SiO}_2$  or  $\text{SiN}$ , or a copper oxide film or a tantalum oxide film before electroless plating. In either case, an etching amount is required to be uniform over a substrate surface, in particular at a strict level of:  $1\sigma = 1\%$  or lower.

Generally-known wet etching methods include immersion wet etching which performs etching by immersing a substrate in an etching liquid held in an etching bath or the like, and spin etching which performs etching by jetting an etching liquid from a spray nozzle or the like onto a substrate held in air. With respect to the immersion wet etching, it is possible to enhance uniformity of etching, i.e. uniformity of an etching rate, by rotating the substrate while it is kept immersed in the etching liquid. In a case of the spin etching, uniformity of etching

is known to be enhanced by rotating the substrate while a jet flow of etching liquid is supplied thereto.

In conventional immersion wet etching, an etching liquid, held in an etching bath or the like, for immersing a substrate  
5 therein can deteriorate or change in quality with time. It is therefore necessary to manage the etching liquid in the etching bath so as to maintain a liquid quality constant. This liquid management, however, is quite difficult and troublesome.

The spin etching, on the other hand, involves the following  
10 problems: When a substrate is rotated, during supply of a jet flow of etching liquid, in order to enhance uniformity of etching, the etching liquid moves outwardly on the substrate due to centrifugal force. As a rotational speed of the substrate is increased, due to influence of air resistance, a surface of a  
15 liquid film of etching liquid becomes wavy. This phenomenon is particularly marked in a peripheral region of the substrate with a high peripheral speed, leading to uneven etching. In particular, as shown in FIG. 19, in performing etching by supplying a jet flow of etching liquid Q to a substrate W while  
20 rotating the substrate W, the etching liquid Q, due to centrifugal force caused by rotation of the substrate W, moves outwardly along a processing surface of the substrate W, with a thickness of the liquid film being minimal at an outermost peripheral portion of the substrate W. Further, since a surface of the etching liquid  
25 is in contact with air, due to viscosity resistance with the air, the liquid surface becomes wavier as a peripheral speed becomes higher. A wavy liquid surface results in uneven processing, such as uneven etching, especially when the liquid film of etching

liquid Q is thin. There is thus a limit in enhancing uniformity of etching by increasing the rotational speed of the substrate.

This holds also for processing, other than etching, of a substrate such as a semiconductor wafer by immersion wet  
5 processing or spin processing using a processing fluid (processing liquid).

#### SUMMARY OF THE INVENTION

The present invention has been made in view of the above  
10 situation in the related art. It is therefore a first object of the present invention to provide a multipurpose substrate processing apparatus and substrate processing method which can always produce a sufficient cleaning effect, responding to new materials and manufacturing processes which are being introduced  
15 into manufacturing of semiconductor devices, and which can meet needs for cleaning technology which are expected to increase with progress toward finer and more highly integrated semiconductor devices.

It is a second object of the present invention to provide  
20 a substrate processing apparatus which enables relatively easy management of a processing fluid, such as an etching liquid, and which can enhance uniformity of processing, such as etching.

In order to achieve the above objects, the present invention provides a substrate processing apparatus,  
25 comprising: a substrate holder for holding a substrate; a plurality of anodes and cathodes disposed opposite the substrate held by the substrate holder and arranged alternately along at least one direction; a processing liquid supply section for

supplying a processing liquid between the substrate, when held by the substrate holder, and the plurality of anodes and cathodes; and a power source for applying a voltage between the anodes and the cathodes.

5            Preferably, the substrate processing apparatus further comprises a drive mechanism for bringing the anodes and the cathodes close to the substrate when held by the substrate holder, and a rotational drive mechanism for rotating the substrate when held by the substrate holder.

10           The present invention makes it possible to provide a positive potential to a conductive material (processing object), which is formed on a surface of a substrate, through the so-called bipolar phenomenon so as to oxidize the conductive material, thereby electrically dissolving and removing the conductive  
15 material.

          In a preferred embodiment of the present invention, the processing liquid contains an electrolyte.

          A main purpose of inclusion of an electrolyte in the processing liquid is to impart good electrical conductivity to  
20 the processing liquid. Use of a halide as the electrolyte, such as hydrogen chloride (HCl), having a strong oxidizing power, can promote oxidation of a processing object by utilizing an electrolytically dissociated halogen ion to thereby remove the object.

25           In a preferred embodiment of the present invention, the substrate processing apparatus further comprises a rectifier for rectifying a waveform of an electric current to be applied between the anodes and the cathodes to at least one of an alternating

current waveform, a direct current waveform, a direct current reverse voltage waveform, a pulse waveform, a PR pulse waveform, and a double pulse waveform.

According to the present invention, an optimum electric  
5 current for a particular purpose can be applied between the anodes and the cathodes. This enables not only oxidation of a conductive material but also removal of various processing objects present on a substrate. For example, in order to dissolve a metal formed on a surface of a substrate by utilizing  
10 the bipolar phenomenon, a direct current waveform is selected. For removal of particles, not the bipolar phenomenon but electric mobility of an ionic surfactant is utilized, and therefore a pulse waveform or a PR pulse waveform is selected. When it is necessary to put a surface of a substrate in a reducing atmosphere, a reverse  
15 voltage waveform of a direct or pulse current is selected. Further, for a purpose of making a molecular structure of water finer in order to increase permeability of the processing liquid, a pulse waveform having a short wavelength of microsecond ( $\mu$ s) order is selected.

20 In a preferred embodiment of the present invention, the anodes are arranged over a plane at regular intervals along orthogonal directions, and each cathode is disposed approximately centrally between two anodes adjacent each other in an oblique direction.

25 In a preferred embodiment of the present invention, the cathodes are arranged over a plane at regular intervals along orthogonal directions, and each anode is disposed approximately centrally between two cathodes adjacent to each other in an

oblique direction.

The present invention enables uniform processing over an entire surface of a substrate.

In a preferred embodiment of the present invention, at  
5 least one of the anodes and the cathodes is made of a conductive diamond or lead dioxide.

Platinum (Pt) is often used as an insoluble electrode in a common substrate processing apparatus. Though platinum produces  $O_2$  through its catalytic reaction, it does not produce  
10  $O_3$ . Use of a conductive diamond, instead of platinum, can increase an oxygen overvoltage (voltage at which  $O_2$  begins to be generated at an anode), enabling generation of  $O_3$ . Also with lead dioxide, if used solely as an anode, an oxygen overvoltage sufficient for generation of  $O_3$  can be obtained. Thus, according  
15 to the present invention, not only  $O_2$  but also  $O_3$  can be generated at insoluble anodes upon electrolysis of water present as a solvent in the processing liquid. It therefore becomes possible to decompose and remove etching residues and a resist material, such as a polymer, by utilizing a strong oxidizing power of  $O_3$ .

20 In a preferred embodiment of the present invention, a distance between the substrate when held by the substrate holder and the anodes differs from a distance between the substrate when held by the substrate holder and the cathodes.

According to the present invention, an oxidizing or  
25 reducing power of a gas, generated at an electrode portion which is nearer to the substrate, can be exerted on the processing liquid present in the vicinity of the surface of the substrate, thus enhancing a processing object removal effect. Further, by



applying a voltage, whose polarity is reversed periodically, between the electrodes, a potential change at this substrate surface can be made larger. This promotes removal of a processing object, such as particles, electrostatically  
5 adhering to the substrate. Moreover, the molecular structure of water, present as a solvent in the processing liquid, can be made smaller so that the processing liquid can better permeate into fine contact holes, thereby effecting good cleaning.

A conventional ozone water production apparatus which has  
10 hitherto been employed is generally installed separately from a main body of a cleaning apparatus. Accordingly, there is a case where during transport of ozone water through a pipe to a location of a substrate within the cleaning apparatus, ozone in the ozone water decomposes, thereby failing in obtaining a  
15 uniform ozone concentration.

An electrolytically ionized water production apparatus is also generally installed independently. There is therefore a case where reactive ions, dissociated ions having a high electrical mobility, and a solvent having a minute molecular  
20 structure, which have been produced by electrolysis, cannot be maintained as they are until they reach a substrate.

According to the present invention, an electrolytic reaction or generation of ozone takes place in the vicinity of the substrate. This allows various products and ozone produced  
25 by electrolysis to act effectively. Further, a rate of oxidation reaction of ozone, which is relatively low solely with ozone, can be increased by aid of electrical energy application.

In a preferred embodiment of the present invention, a

supply port of the processing liquid supply section is provided in one of each anode and each cathode, and a suction port for sucking in the processing liquid supplied from the supply port is provided in the other one of each anode and each cathode.

5           The present invention also provides a substrate processing method, comprising: bringing a plurality of anodes and cathodes close to a substrate; supplying a processing liquid between the substrate and the plurality of anodes and cathodes; and applying a voltage between the anodes and the cathodes.

10           The present invention further provides another substrate processing apparatus, comprising: a substrate holder for holding a substrate; a processing head disposed such that it faces the substrate when held by the substrate holder; and a processing liquid supply section for supplying a processing liquid between  
15 the substrate, when held by the substrate holder, and the processing head; wherein a plurality of anodes and cathodes, and an ultrasonic transducer for emitting ultrasonic waves toward the processing liquid are disposed in a substrate-facing surface of the processing head.

20           In a preferred embodiment of the present invention, the substrate processing apparatus further comprises a relative movement mechanism for moving the processing head relative to the substrate.

          In a preferred embodiment of the present invention, the  
25 relative movement mechanism rotates the processing head.

          In a preferred embodiment of the present invention, the substrate processing apparatus further comprises a pulse power source for applying a pulse voltage between the anodes and the

cathodes.

The present invention makes it possible to provide a positive potential to a conductive material (processing object), which is present on a surface of a substrate, through the so-called bipolar phenomenon so as to oxidize the conductive material, thereby electrically dissolving and removing the conductive material. Further according to the present invention, if a conductive material is not present on a surface of a substrate, non-conductive particles, an organic material, and the like adhering to the substrate can be cleaned off effectively by emitting ultrasonic waves onto gas bubbles, such as oxygen gas or ozone gas, generated at the anodes during processing. A principle of the present invention will now be described.

When a voltage is applied between an anode and a cathode, oxygen gas or ozone gas is generated at the anode and remains as gas bubbles in a processing liquid. Such gas bubbles can be made fine by applying a pulse voltage between the anode and the cathode, or by adding a surfactant to the processing liquid.

Gas bubbles having a diameter of not more than 20  $\mu\text{m}$ , in particular 1 to 10  $\mu\text{m}$ , have the following characteristics:

(1) Such gas bubbles do not coalesce with one another, and they remain as independent gas bubbles in a liquid for a long time without disappearing.

(2) A rising speed of gas bubbles is slow. The gas bubbles, therefore, show good diffusion in a horizontal direction and easily disperse uniformly in a liquid.

(3) In addition to long residence in a liquid, a number of gas bubbles per unit volume of liquid (gas bubble content)

has increased, and therefore surface areas of gas bubbles per unit volume of liquid has become larger. The gas bubble content increases as the gas bubbles become finer.

(4) Since the gas bubbles are electrically charged, they  
5 have adhesion to suspended solids in a liquid.

(5) Depending upon surface tensions of the gas bubbles, the surfaces of gas bubbles reflect ultrasonic waves.

In order to effectively utilize fine bubbles (hereinafter referred to as "micro-bubbles") having the above characteristics  
10 in cleaning of a substrate, according to the present invention, ultrasonic waves are applied intermittently to such micro-bubbles in a processing liquid. Application of ultrasonic waves to micro-bubbles produces the following effects:

(i) Micro-bubbles are collapsed upon the application of  
15 ultrasonic waves, thereby producing micro jet flows in a processing liquid. Particles, and the like adhering to a substrate can be removed by utilizing energy of the micro jet flows. Further, when micro-bubbles are collapsed, gas forming the micro-bubbles is dissolved at a high concentration in the  
20 processing liquid. Thus, a metal or organic material adhering to the substrate can be removed by utilizing chemical properties of the gas.

(ii) In a case where the surface tensions of micro-bubbles are strong, the bubbles are not destroyed, and are stirred by  
25 ultrasonic waves. Accordingly, the micro-bubbles can be diffused widely in a processing liquid, thereby enabling particles, and the like to be adsorbed on the surfaces of micro-bubbles.

(iii) Ultrasonic waves are reflected diffusely on the surfaces of micro-bubbles, whereby ultrasonic waves can be applied also to fine processing portions, such as contact holes, formed in a surface of a substrate. This enables removal of particles, and the like adhering to the fine processing portions.

(iv) In a case of cavitation micro-bubbles produced by cavitation phenomenon, which could occur by application of ultrasonic waves, an impulse upon their collapse is likely to cause damage to a device. According to the present invention, application of ultrasonic energy does not produce micro-bubbles. It is therefore possible to set a frequency of ultrasonic waves within such a range as not to cause damage to a device.

The present invention further provides yet another substrate processing apparatus, comprising: a processing liquid supply section for supplying a processing liquid onto a substrate; a micro-bubble generator for generating micro-bubbles in the processing liquid; and an ultrasonic transducer for emitting ultrasonic waves to the processing liquid containing micro-bubbles.

In a preferred embodiment of the present invention, the microbubbles have a diameter of not more than 20  $\mu\text{m}$ , and have an internal pressure of not lower than atmospheric pressure.

In a preferred embodiment of the present invention, the micro-bubble generator comprises a two-fluid nozzle, a gas diffuser, a gas/liquid stirrer, or an electrolytic gas generator.

In a preferred embodiment of the present invention, the substrate processing apparatus further comprises a substrate

holder for holding a substrate, and a rotating mechanism for rotating the substrate, and the ultrasonic transducer is disposed such that it faces the substrate when held by the substrate holder.

5           In a preferred embodiment of the present invention, the ultrasonic transducer has a processing liquid introduction port, and the processing liquid is supplied through the processing liquid introduction port to between the substrate, when held by the substrate holder, and the ultrasonic transducer.

10           In a preferred embodiment of the present invention, a frequency of ultrasonic waves emitted from the ultrasonic transducer is 5 to 100 MHz.

          The substrate processing apparatus according to the present invention does not utilize ultrasonic application to generate micro-bubbles by the cavitation phenomenon, but applies  
15   ultrasonic waves to micro-bubbles generated by the micro-bubble generator. This application of ultrasonic waves to the processing liquid containing micro-bubbles according to the present invention can enhance a cleaning effect that  
20   microbubbles originally have. Further, by a synergistic effect of micro-bubbles and ultrasonic application, various processing objects, such as particles, a metal, an organic material, and the like, can be removed from a substrate with high efficiency.

          The present invention further provides yet another  
25   substrate processing apparatus, comprising: a substrate holder for holding and rotating a substrate; a rotatable rotary plate disposed opposite to one of front and back surfaces of the substrate when held by the substrate holder at a predetermined

distance therefrom; and a first fluid supply section for supplying a first fluid between the substrate, when held by the substrate holder, and the rotary plate.

The substrate processing apparatus, in principle, performs spin processing by supplying the first processing fluid, such as an etching liquid, from the first fluid supply section to a rotating substrate when held by the substrate holder. By holding the processing fluid, supplied from the first fluid supply section, between the substrate held by the substrate holder and the rotary plate, and preventing contact between the processing fluid and air as much as possible, uneven processing in a peripheral region of the substrate can be avoided even when a rotational speed of the substrate is high. Further, by producing an effect of rotation of a substrate in immersion processing also during spin processing, uniformity of processing, such as etching, of the substrate can be enhanced.

Preferably, the substrate holder and the rotary plate rotate in opposite directions. This increases a relative movement speed between the substrate held by the substrate holder and the substrate, thereby enhancing uniformity of a diffusion layer on a processing surface of the substrate. From a viewpoint of preventing damage to the substrate, it is preferred to use a low rotational speed for the substrate and a high rotational speed for the rotary plate.

The first processing fluid is, for example, an etching liquid.

In a preferred embodiment of the present invention, the substrate processing apparatus further comprises a counter plate

disposed opposite to the other one of the front and back surfaces of the substrate when held by the substrate holder at a predetermined distance therefrom, and a second fluid supply section for supplying a second processing fluid between the  
5 substrate, when held by the substrate holder, and the counter plate.

The apparatus of this embodiment can simultaneously process both the front and back surfaces of the substrate when held by the substrate holder with the first and second processing  
10 fluids, or can utilize the second processing fluid to prevent the first processing fluid from intruding over a peripheral end of the substrate onto a non-processing surface.

The second processing fluid is, for example, a gas.

By using, for example, dry air as the second processing  
15 fluid, the first processing fluid can be prevented from intruding over the peripheral end of the substrate onto the non-processing surface.

The counter plate preferably is rotatable. This enables simultaneous processing, such as etching, of both the front and  
20 back surfaces of the substrate when held by the substrate holder with improved uniformity of processing.

It is preferred that the counter plate rotates in a direction opposite to a rotating direction of the substrate holder. This increases a relative movement speed between the  
25 substrate when held by the substrate holder and the counter plate.

The second processing fluid may also be an etching liquid.

#### BRIEF DESCRIPTION OF THE DRAWINGS



FIG. 1 is a cross-sectional view showing an overall construction of a substrate processing apparatus according to an embodiment of the present invention;

FIG. 2 is a schematic plan view of the substrate processing  
5 apparatus shown in FIG. 1;

FIG. 3 is a cross-sectional view of a processing head shown in FIG. 1;

FIG. 4 shows the processing head of FIG. 3 as viewed in a direction of arrow B;

10 FIG. 5A is an enlarged sectional view of an anode and a cathode shown in FIG. 3, and FIG. 5B is an enlarged sectional view of another anode and cathode;

FIG. 6A is a diagram showing a PR pulse waveform, FIG. 6B is a cross-sectional diagram illustrating a function of the anode and the cathode during interval "a" shown in FIG. 6A, and FIG.  
15 6C is a cross-sectional diagram illustrating a function of the anode and the cathode during interval "b" shown in FIG. 6A;

FIG. 7 is an enlarged sectional diagram illustrating progress of electrolytic processing as performed by the  
20 substrate processing apparatus according to the present invention;

FIG. 8 is a cross-sectional view showing the processing head when the anodes and the cathodes are reversed;

FIG. 9 is a plan view showing a construction of a substrate  
25 processing system provided with a substrate processing apparatus according to the present invention;

FIG. 10 is a cross-sectional view showing an overall construction of a substrate processing apparatus according to

another embodiment of the present invention;

FIG. 11 is a view showing a lower surface of a processing head shown in FIG. 10;

FIG. 12 is a cross-sectional view taken along line IV-IV  
5 of FIG. 11;

FIG. 13 is a cross-sectional view showing an overall construction of a substrate processing apparatus according to yet another embodiment of the present invention;

FIG. 14 is a schematic diagram showing a substrate  
10 processing apparatus, which is utilized as an etching apparatus, according to yet another embodiment of the present invention;

FIG. 15A is an enlarged view of a main portion of FIG. 14, and FIG. 15B shows a variation thereof;

FIG. 16 is a schematic diagram showing a substrate  
15 processing apparatus, which is utilized as an etching apparatus, according to yet another embodiment of the present invention;

FIG. 17 is a schematic diagram showing a substrate processing apparatus, which is utilized as an etching apparatus, according to yet another embodiment of the present invention;

FIG. 18 is a plan view showing a construction of a substrate  
20 processing system provided with an etching apparatus (substrate processing apparatus) according to the present invention; and

FIG. 19 is a diagram illustrating a problem that conventional spin etching has.

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#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Preferred embodiments of the present invention will now be described with reference to the drawings.

FIG. 1 is a cross-sectional view showing an overall construction of a substrate processing apparatus according to an embodiment of the present invention. FIG. 2 is a schematic plan view of the substrate processing apparatus shown in FIG.  
5 1.

As shown in FIG. 1, the substrate processing apparatus includes a substrate holder 3 for holding a substrate W, such as a semiconductor wafer, a main shaft 4 coupled to a lower portion of the substrate holder 3, and a vessel 5 disposed below the  
10 substrate holder 3. The main shaft 4 is rotatably supported by a not-shown bearing, and the substrate holder 3 rotates together with the main shaft 4.

The substrate holder 3 includes a circular substrate stage 6 and a plurality of support pins 7 provided on an upper surface  
15 of the substrate stage 6. The support pins 7 are arranged at regular intervals along a circumferential direction of the substrate W so that a peripheral portion of the substrate W is supported by the support pins 7. Instead of the support pins 7, a holding mechanism such as a vacuum chuck or an electrostatic  
20 chuck may be used to hold the substrate. A rotating motor 8 is coupled to a lower end of the main shaft 4. The substrate W held by the substrate holder 3 is rotated by the rotating motor 8 via the main shaft 4.

The substrate processing apparatus of this embodiment also  
25 includes an arm 11 which is vertically movable and horizontally reciprocable, and a processing head 12 fixed to an end of the arm 11. A reciprocating motor 14 is coupled via a power transmission mechanism 13 to a shaft portion 11a of the arm 11,

so that the processing head 12 pivots in a direction of arrow A shown in FIG. 2 by the reciprocating motor 14. An air cylinder 16 is coupled to a lower end of the shaft portion 11a of the arm 11. The air cylinder 16 is connected to a not-shown compressed air source, and is driven by compressed air supplied from the compressed air source. Thus, the processing head 12 moves vertically via the shaft portion 11a and the arm 11 by the air cylinder 16. The processing head 12 can be lowered to a position at which a distance between a surface of the substrate W held by the substrate holder 3, and a lower surface of the processing head 12 is about 1 mm.

Next, construction of the above-described processing head 12 will be described in detail by referring to FIGS. 3 and 4. FIG. 3 is a cross-sectional view of the processing head 12 shown in FIG. 1, and FIG. 4 shows the processing head 12 of FIG. 3 as viewed in a direction of arrow B.

As shown in FIGS. 3 and 4, the processing head 12 is provided with a plurality of anodes 21 and cathodes 22. The anodes 21 and the cathodes 22 are provided in a lower surface of the processing head 12 and are respectively arranged regularly in a predetermined pattern.

As shown in FIG. 4, the cathodes 22 are arranged over almost an entire lower surface of the processing head 12 at regular intervals along orthogonal directions. Each anode 21 is disposed centrally between two cathodes 22 adjacent to each other in an oblique direction. The anodes 21 and the cathodes 22 are thus respectively arranged in a checkered pattern in the lower surface of the processing head 12.

As shown in FIGS. 3 and 4, the cathodes 22 are provided in an interior of projecting portions 12a, each having a rectangular cross-section and projecting downwardly, of the processing head 12, while the anodes 21 are provided in grooves 5 12b formed between the projecting portions 12a and extending rectangularly. With such a construction, there is provided a level difference D of e.g.  $\alpha$  mm between the anodes 21 and the cathodes 22. Thus, when distance  $S_1$  between an upper surface of the substrate W held by the substrate holder 3 and the cathodes 10 22 is about 1 mm, distance  $S_2$  between the anodes 21 and the upper surface of the substrate W is about  $(1+\alpha)$  mm.

The cathodes 22 each have a supply port 25 that centrally penetrates a corresponding cathode 22. Supply ports 25 are connected via a pipe 26 to a processing liquid supply source 27 15 in which a processing liquid 2 is stored. The processing liquid 2 is supplied through the pipe 26 and the supply ports 25 onto the upper surface of the substrate W. The anodes 21 each have a suction port 29, centrally penetrating a corresponding anode 21, which is connected via a pipe 28 to a suction source (not 20 shown). The processing liquid 2 supplied to the upper surface of the substrate W is sucked from the suction ports 29 by the suction source and discharged out of the system. The supply port 25 may be provided in a peripheral portion of the cathode 22, and likewise, the suction port 29 may be provided in a peripheral 25 portion of the anode 21. As shown in FIG. 3, the processing liquid 2 supplied onto the upper surface of the substrate W remains on the substrate W by action of surface tension. Part of the processing liquid 2, however, flows out from the substrate

W. The processing liquid 2 that has flowed out of the substrate W is recovered by the vessel 5 provided below the substrate holder 3.

A description will now be made of flow of processing liquid 2 by referring to FIGS. 5A and 5B.

FIG. 5A is an enlarged sectional view of anode 21 and cathode 22 shown in FIG. 3, and FIG. 5B is an enlarged sectional view showing another anode 21 and cathode 22. According to the example shown in FIG. 5B, the supply port 25 is provided in the peripheral portion of the cathode 22, and the suction port 29 is provided in the peripheral portion of the anode 21. Arrows shown in FIGS. 5A and 5B indicate a flow of processing liquid 2.

As shown in FIGS. 5A and 5B, the processing liquid 2 is supplied from the supply port 25 provided in the cathode 22 onto the upper surface of the substrate W and, after flowing on the upper surface of the substrate, is sucked from the suction port 29 provided in the anode 21. Thus, whether the supply port 25 and the suction port 29 are provided in central portions or in peripheral portions of these electrodes, the processing liquid 2 flows from the supply port 25 to the suction port 29 via the upper surface of the substrate W in substantially the same flow.

The processing liquid used in the substrate processing apparatus basically comprises a solvent such as water (ultrapure water) or alcohol, an electrolyte such as HCl or  $\text{NH}_3\text{OH}$ , and an additive such as an ionic surfactant. A main purpose of inclusion of an electrolyte in the processing liquid is to impart electrical conductivity to the processing liquid. Further, use

of an electrolyte enables adjustment of a liquid pH, which can produce an effect of promoting removal of a processing object. In particular, a decrease in the pH raises an oxidation-reduction potential, whereby a strong oxidizing power can be obtained. On  
5 the other hand, by increasing the pH and making the processing liquid alkaline, a zeta potential, which is a factor of adhesion of particles to a substrate, can be lowered whereby particles can be removed effectively. When a halide, such as HCl, having a strong oxidizing power is used as the electrolyte, the halide  
10 is partly ionized into halogen ions in the processing liquid, and the halide ions can react with and oxidize a processing object to be removed.

The anodes 21 are electrically connected via a wire 31 to an anode of a power source 32, while the cathodes 22 are  
15 electrically connected via a wire 33 to a cathode of the power source 32. A conductive diamond is preferably used as a material for the anodes 21 and the cathodes 22. It is also possible to use, instead of the conductive diamond, lead dioxide ( $\text{PbO}_2$ ), platinum (Pt), or the like. Except for a case of electrodes made  
20 of lead dioxide, the anodes can be made cathodes and the cathodes can be made anodes by reversing the anode and the cathode of the power source 32.

The power source 32 is provided with a rectifier 34 for rectifying a current waveform to a predetermined one. The  
25 rectifier 34 can rectify the current waveform to be outputted from the power source 32 to an alternating current waveform, a direct current waveform, a direct current reversed voltage waveform (direct current waveform with reversed polarity), a

pulse waveform, a PR pulse waveform, or a double pulse waveform. The rectifier 34 can also change a frequency and wavelength of such a waveform. The pulse waveform is not limited to a sine curve, or a triangular, rectangular or square waveform. A  
5 combination of two or more of these waveforms may also be employed.

FIG. 6A is a diagram showing a PR pulse waveform. FIG. 6B is a cross-sectional diagram illustrating a function of the anode and the cathode during interval "a" shown in FIG. 6A, and  
10 FIG. 6C is a cross-sectional diagram illustrating a function of the anode and the cathode during interval "b" shown in FIG. 6A. In FIG. 6A, the abscissa represents time (t) and the ordinate represents intensity of electric current (A).

As shown in FIG. 6A, a direction of an electric current  
15 having a PR pulse waveform changes periodically. Thus, as shown in FIG. 6B, the cathode 22 becomes an anode and the anode 21 becomes a cathode during the interval "a". During the interval "b", on the other hand, the cathode 22 functions as it is as a cathode, while the anode 21 functions as it is as an anode, as  
20 shown in FIG. 6C.

The waveform, frequency and wavelength of an electric current may be appropriately selected depending upon a processing object. For example, in order to dissolve a metal formed on the surface of the substrate W, a direct current  
25 waveform is selected. A direct current reverse voltage waveform is selected to remove a resist material adhering to a metal surface. A pulse waveform or a PR pulse waveform is selected for removal of particles. Further, for a purpose of making finer



a molecular structure of water in the processing liquid, a pulse waveform having a short wavelength of microsecond ( $\mu$ s) order is selected. Thus, according to the substrate processing apparatus of this embodiment, an optimum electric current for a particular purpose can be applied between the anodes 21 and the cathodes 22. This makes it possible to remove various processing objects present on the substrate W.

Operation of the substrate processing apparatus having the above-described construction will now be described. In this embodiment, the processing object to be removed is copper (Cu) formed on the surface of the substrate W.

First, the substrate W is held by the substrate holder 3 such that a front surface (processing surface) on which copper is formed faces upwardly. The reciprocating motor 14 is driven to move the processing head 12 to above the substrate W, and the air cylinder 16 is then activated to lower the processing head 12. Lowering of the processing head 12 is stopped at a position where the distance  $S_1$  between the lower surface of the processing head 12 and the upper surface of the substrate W held by the substrate holder 3 is about 1 mm. The rotating motor 8 is then driven to rotate the substrate W and, at the same time, the reciprocating motor 14 is driven to pivot the processing head 12.

The processing liquid 2 stored in the processing liquid supply source 27 is supplied from the supply ports 25 onto the upper surface of the substrate W, while the processing liquid 2 on the substrate W is sucked by the suction ports 29. A predetermined voltage is applied from the power source 32 to

between the anodes 21 and the cathodes 22, whereby electrolytic processing (electrolytic etching) proceeds. A current waveform outputted from the power source 32 is selected depending upon the processing object, and a direct current waveform is selected  
5 in this embodiment.

FIG. 7 is an enlarged sectional diagram illustrating progress of electrolytic processing, which removes copper as a bulk metal or as a metal contaminant from a substrate, as performed by the substrate processing apparatus of this  
10 embodiment. As shown in FIG. 7, when a voltage is applied between the anodes 21 and the cathodes 22 in presence of the processing liquid 2 containing an electrolyte, a portion of copper 50 close to anode 21 takes on a negative potential while a portion of copper 50 close to cathode 22 takes on a positive potential. This  
15 phenomenon is called a bipolar phenomenon. Copper oxidation reaction ( $\text{Cu} \rightarrow \text{Cu}^{2+} + 2\text{e}^-$ ) occurs at the portion of copper 50 with a positive potential, while  $\text{H}_2$  generation occurs at the portion of copper with a negative potential. A potential difference is thus produced within copper 50, causing migration  
20 of electrons ( $\text{e}^-$ ). In this manner, electrolytic processing (electrolytic etching) proceeds at a positively charged portion of copper 50 whereby the copper 50 to be processed is dissolved.

The processing liquid 2 supplied to the upper surface of the substrate W flows on the upper surface of the substrate, and  
25 is then sucked by each suction port 29 and continuously discharged out of the system. Part of the processing liquid 2 falls off the surface of the substrate W, which liquid is recovered in the vessel 5 provided below the substrate holder 3. Though in this

embodiment the processing head 12 is pivoted by the reciprocating motor 14 during electrolytic processing, it is also possible to allow the processing head 12 to make a scroll movement instead of a pivoting movement.

5           In electrolytic processing for dissolving a metal, a current waveform outputted from the power source 32 is set to a direct current waveform, and an output voltage of the power source 32 is set at 10 to 100 V. An electric resistivity between the anode 21 and the cathode 22 is preferably from 5 to 50  $\Omega \cdot \text{cm}$ .

10           A description will now be given of removal of an organic material, such as a polymer or a resist material, adhering to the surface of the substrate W, by the substrate processing apparatus of this embodiment by referring to FIG. 8. As shown in FIG. 8, in removing an organic material, such as a polymer  
15 or a resist material, by using the substrate processing apparatus of this embodiment, this substrate surface needs a high oxidizing power and a reaction promoting energy. In this case, electrodes closer to the substrate W are made anodes 21 and electrodes farther from the substrate W are made cathodes 22.

20           A conductive diamond is preferably used as a material for the anodes 21 for the following reasons. A voltage at which  $\text{O}_2$  begins to be generated at the anodes 21 (hereinafter referred to as oxygen overvoltage) depends on a degree of catalytic activity of material of the anodes 21. The oxygen overvoltage  
25 increases in the following order: platinum (Pt) < lead dioxide ( $\text{PbO}_2$ ) < diamond. Accordingly, use of a conductive diamond as a material for the anodes 21 suppresses generation of  $\text{O}_2$  at the anodes 21 and increases a ratio of  $\text{O}_3$  generation.

In general, ozone water ( $O_3$ ) may be used for removal of a polymer or a resist material adhering to a substrate W. A conventional ozone water production apparatus of a stand-alone type has a problem in that  $O_3$  concentration of ozone water  
5 decreases during its transfer to the substrate W, whereby a desired oxidizing power cannot be obtained.

According to this embodiment, by using a conductive diamond as a material for the anodes 21,  $O_3$  can be generated at the vicinity of the substrate W. This makes it possible to effectively  
10 utilize oxidizing power of  $O_3$  in removing an organic material, such as a polymer or a resist material. It is also possible to utilize the oxidizing power of active oxygen species, such as  $O$ ,  $O_2^-$  and  $O_3^-$ , generated at the anodes 21. By utilizing an electrical energy, such as of a pulse waveform, or an effect of  
15 an electrolyte so as to increase a reaction rate of  $O_3$ , a range of application can be broadened.

Since there is provided a level difference D of e.g.  $\alpha$  mm between the anodes 21 and the cathodes 22, as described above, the distance  $S_1$  (about 1 mm) between the anodes 21 and the  
20 substrate W is shorter than the distance  $S_2$  (about  $(1+\alpha)$  mm) between the cathodes 22 and the substrate W. The level difference D is provided to make properties, such as oxidizing or reducing properties, of the processing liquid 2 in the vicinity of the upper surface of the substrate W dependent on a gas  
25 generated at the electrodes closer to the substrate W. Since the anodes 21 are disposed closer to the substrate W than the cathodes 22 according to this embodiment, a strong oxidizing power can be imparted to the processing liquid 2 in the vicinity

of the upper surface of the substrate W. In order to uniformize properties of the processing liquid 2 over an entire upper surface of the substrate W, the anodes 21 and the cathodes 22 should preferably be disposed as finely as possible.

5       Further, since the supply ports 25 are provided close to the substrate W, and the suction ports 29 are provided farther from the substrate W than the supply ports 25, a polymer or resist material (processing object) that has been removed from the surface of the substrate W can be prevented from again adhering  
10 to the surface of the substrate W.

A description will now be given of removal of fine particles adhering to the substrate W, and cleaning of interiors of fine contact holes formed in a low-k material, by the substrate processing apparatus of this embodiment.

15       Zeta potential, which is a factor of adsorption of particles onto the substrate W, is generally said to decrease at pH 9-10. According to this embodiment, in order to remove fine particles, an ionic surfactant is preferably used as an additive for the processing liquid. A pulse waveform is selected  
20 as a current waveform to be applied. Further, the cathodes 22 are disposed close to the substrate W so as to impart a reducing power to the processing liquid. In addition, pH adjustment of the processing liquid is effected by adding  $\text{NH}_3\text{OH}$  as an electrolyte to the processing liquid. By such a combination,  
25 the fine particles can be enveloped in the ionic surfactant and such particles can be released from the surface of the substrate W by action of an electric field.

An ionic chelating agent or a plating brightener may be

used as an additive in a case of removing metal particles from the substrate W. In a case of removing polar organic particles, an organic adsorptive material, such as an ionic surfactant or dye agent, may be used. Thus, an ionic organic adsorptive  
5 material is allowed to be adsorbed on organic particles or an organic material, and such particles or material can be released from the surface of the substrate W by action of an electric field.

In a case of cleaning interiors of fine contact holes formed in a low-k material, water present as a solvent of the processing  
10 liquid is electrolyzed to make a molecular structure of water finer, thereby promoting intrusion of the processing liquid into the contact holes. Especially, by using a pulse waveform as the waveform of the electric current applied between the anodes 21 and the cathodes 22,  $\text{OH}^-$  and  $\text{H}^+$  ions having a large mobility in  
15 the processing liquid can be increased, thus further facilitating intrusion of the processing liquid into the contact holes. This increase of mobile ions also promotes movement of released particles in the contact holes and, together with use of an ionic organic adsorptive material, can discharge removed  
20 objects, present in the contact holes, out of the contact holes. The above-described  $\text{O}_3$  can be used effectively for removal of an organic material in fine holes.

Next, a substrate processing system incorporating a substrate processing apparatus according to the present  
25 invention will now be described in detail by referring to Fig. 9. FIG. 9 is a plan view showing a construction of the substrate processing system provided with a substrate processing apparatus according to the present invention. Though the following

description relates to the construction of a system in a case of removing copper (Cu) formed on the surface of a substrate W, this system construction will be substantially the same also in a case of processing other processing objects.

5           As shown in FIG. 9, the substrate processing system includes a pair of loading/unloading sections 37 for carrying in and out a cassette (not shown) housing substrates W with copper as a processing object formed on a surface, four substrate processing apparatuses 1, a transfer robot 38 for transferring  
10 a substrate W, and a housing 39 that houses these devices. Transfer rails 40 are laid centrally in the housing 39, and the transfer robot 38 can move on the transfer rails 40. The substrate processing apparatuses 1 are disposed two and two on either side of the transfer rails 40, and the loading/unloading  
15 sections 37 are disposed near one end of the transfer rails 40. A substrate W is transferred between a loading/unloading section 37 and a substrate processing apparatus 1 by the transfer robot 38.

          Operation of the substrate processing system having the  
20 above construction will now be described.

          A cassette housing substrates W is set in loading/unloading section 37, and one substrate W is taken by the transfer robot 38 out of the cassette. The transfer robot 38 transfers the substrate W to substrate processing apparatus 1, where the  
25 substrate W is held by substrate holder 3 (see FIG. 1) of the substrate processing apparatus 1. Processing head 12 is on standby in a retreat position shown by broken lines in FIG. 2 until the substrate W is held by the substrate holder 3. After

the substrate W is held by the substrate holder 3, the processing head 12 (see FIG. 1) moves to the vicinity of an upper surface of the substrate W, where electrolytic processing (electrolytic etching) of copper on the substrate is performed. Since the operation of the substrate processing apparatus 1 has been described previously, a description thereof is here omitted.

After completion of the electrolytic processing, the processing head 12 moves to the above-described retreat position, while the substrate W held by the substrate holder 3 is returned by the transfer robot 38 to the cassette of the loading/unloading section 37. Since the substrate processing system is provided with four substrate processing apparatuses 1, electrolytic processing of a plurality of substrates W can be performed in a continuous manner.

As described hereinabove, the present invention makes it possible to provide a positive potential to a conductive material (processing object), formed on a surface of a substrate, through the so-called bipolar phenomenon so as to oxidize the conductive material, thereby electrically dissolving and removing the conductive material. Further, it is possible to effectively utilize the strong oxidizing power of  $O_3$  or an active oxygen, which is generated at the anodes by electrolysis of water present as a solvent in a processing liquid, to remove an organic material, such as a polymer or a resist material. Further, a reducing atmosphere can be created according to the present invention so as to remove particles on a surface of a substrate. Furthermore, it is possible to enhance permeability of a processing liquid into fine holes by electrolysis of the solvent and also to



increase mobility of ions, thereby promoting intrusion of the processing liquid into fine holes. This enables effective removal of an organic material and particles present in the fine holes.

5           FIG. 10 is a cross-sectional view showing an overall construction of a substrate processing apparatus according to another embodiment of the present invention. FIG. 11 is a view showing a lower surface of a processing head, and FIG. 12 is a cross-sectional view taken along line IV-IV of FIG. 11. This  
10           embodiment differs from the above-described embodiment shown in FIGS. 1 through 4 in the following respects.

          A motor 52 is fixed to a free end of arm 11, and the motor 52 is coupled to a rotating shaft 54 of the processing head 12. By actuation of the motor 52, the processing head 12 rotates via  
15           the rotating shaft 54 concentrically with a substrate W. The motor 52 constitutes a relative movement mechanism which moves the processing head 12 relative to the substrate W. As shown in FIG. 11, a plurality of anodes 21 and cathodes 22, and two ultrasonic transducers 56 for emitting ultrasonic waves toward  
20           processing liquid 2 on the substrate W are disposed in a lower surface of the processing head 12. As shown in FIG. 12, the anodes 21 are electrically connected via a wire 31 to an anode of a pulse power source 58, while the cathodes 22 are electrically connected via a wire 33 to a cathode of the pulse power source  
25           58. The pulse power source 58 applies a pulse voltage with a predetermined frequency to the anodes 21 and the cathodes 22. The pulse voltage herein refers to a voltage (potential) that changes periodically, and not a continuous direct current

voltage generally employed for electrochemical reactions.

A description will now be given of a principle of generation of micro-bubbles in the processing liquid by application of a pulse voltage. As described previously, when a conductive  
5 diamond is used as a material for the anodes 21 and the cathodes 22,  $O_2$  and  $O_3$  are generated as gas bubbles at the anodes 21. If a direct current voltage is applied between the anodes 21 and the cathodes 22, the  $O_2$  and  $O_3$  gas bubbles generated at the anodes 21 inevitably grow large. Thus, micro-bubbles having a small  
10 diameter cannot be obtained.

In a case of applying a pulse voltage between the anodes 21 and the cathodes 22, on the other hand, the gas bubbles generated at the anodes 21 can be released from the anodes 21 before the gas bubbles grow large. It is thus possible to obtain  
15 micro-bubbles having a small diameter. A diameter of micro-bubbles is preferably not more than  $20\text{ }\mu\text{m}$ , more preferably 1 to  $10\text{ }\mu\text{m}$ . In order to release the gas bubbles generated at the anodes 21 therefrom before the gas bubbles grow large, a frequency of the pulse voltage should preferably be somewhat low.  
20 That is, the pulse voltage should preferably have such a frequency that can produce micro-bubbles having the above diameter.

Next, a description will be given of the ultrasonic transducers 56 provided in the substrate processing apparatus of this embodiment.

25 As shown in FIG. 11, the ultrasonic transducers 56 each have a fan-like shape and are disposed symmetrically about a center of the processing head 12. The ultrasonic transducers 56 are connected to a not-shown power source, and a high-frequency

alternating current voltage is applied from the power source to the ultrasonic transducers 56. The ultrasonic transducers 56 convert a periodical electrical signal from the power source into a mechanical vibration, thus generating an ultrasonic vibration.

5 An electrostriction transducer as typified by barium titanate or lead zirconate titanate, or a magnetostriction transducer as typified by ferrite, is preferably used as each ultrasonic transducer 56.

The ultrasonic transducers 56 are disposed adjacent to an  
10 area in which the anodes 21 and the cathodes 22 are disposed. When the processing liquid 2 is supplied from supply ports 25 (see FIG. 12) onto the substrate W while rotating the processing head 12 by the motor 52 (see FIG. 10), the processing liquid 2 spreads over an entire surface of the substrate W due to rotation  
15 of the processing head 12. Ultrasonic waves are then emitted from the ultrasonic transducers 56 toward the processing liquid 2 that fills a space between the substrate W and the processing head 12. As described above, micro-bubbles of  $O_2$  and  $O_3$  remain in the processing liquid 2, and ultrasonic waves from the  
20 ultrasonic transducers 56 are applied to the micro-bubbles.

When ultrasonic waves are applied to the micro-bubbles, the micro-bubbles are stirred by energy of the ultrasonic waves and diffuse throughout the processing liquid. Part of the micro-bubbles are collapsed by application of ultrasonic waves,  
25 whereby micro jet flows are created in the processing liquid 2. Particles, and the like adhering to the substrate W are removed by physical energy of the micro jet flows. Further, when the micro-bubbles are collapsed,  $O_2$  or  $O_3$  forming the micro-bubbles

is dissolved at a high concentration in the processing liquid. Especially, high-concentration  $O_3$  has a strong oxidizing power. By utilizing the oxidizing power, an organic material, such as a resist material or a polymer, on the substrate W can be removed.

5 Further, micro-bubbles floating in the processing liquid, without being collapsed, can be utilized to remove particles floating in the processing liquid and particles remaining on the substrate W. Thus, by utilizing an electrical charge of the micro-bubbles, particles can be adsorbed on surfaces of the  
10 micro-bubbles and removed. Further, since the ultrasonic waves are reflected diffusely on the surfaces of the micro-bubbles, the ultrasonic waves can be applied to a fine processing portion (device) formed in the substrate W. Thus, according to the substrate processing apparatus of this embodiment, various  
15 processing objects, such as particles, a metal and an organic material, can be removed from the substrate W with a high efficiency by a combination of a cleaning effect of electrolytic processing, a cleaning effect of micro-bubbles and a cleaning effect of ultrasonic waves.

20 After completion of this series of cleaning processings, the processing head 12 is rotated at a high speed, whereby the processing liquid adhering to the processing head 12 can be removed by centrifugal action. During cleaning, it is preferred to pivot the processing head 12 while rotating it. By thus moving  
25 the processing head 12 relative to the substrate W, ultrasonic waves can be applied also to a center of the substrate W, thereby enabling uniform processing over substrate W in its entirety. In this case, motor 14, the arm 11 and power transmission

mechanism 13 for pivoting the processing head 12, together with the motor 52 for rotating the processing head 12, constitute a relative movement mechanism. The processing head 12 may be reciprocated over the substrate W.

5           A frequency of ultrasonic waves emitted from the ultrasonic transducers 56 is preferably not less than 5 MHz and not more than 100 MHz, more preferably not less than 10 MHz and not more than 50 MHz. There is a likelihood that as devices become finer in the future, ultrasonic waves within a frequency band of 1 to  
10   5 MHz may cause damage to a device. On the other hand, ultrasonic waves within a frequency band of 10 to 50 MHz are unlikely to cause damage to a device formed in a substrate W. Ultrasonic waves having a frequency over 100 MHz have little energy to move micro-bubbles in the processing liquid. Use of such ultrasonic  
15   waves, therefore, lowers a cleaning effect. For the above reasons, the frequency of ultrasonic waves is set at 5 to 100 MHz, preferably 10 to 50 MHz.

          According to the present invention, various processing objects, such as particles, a metal and an organic material, can  
20   be removed from a substrate with a high efficiency by a combination of the cleaning effect of electrolytic processing, the cleaning effect of microbubbles and the cleaning effect of ultrasonic waves.

          FIG. 13 is a cross-sectional view showing an overall  
25   construction of a substrate processing apparatus according to yet another embodiment of the present invention. This embodiment differs from the above-described embodiment shown in FIGS. 1 through 4 in the following respects.

A motor 62 is coupled via a power transmission mechanism 60 to a lower end of main shaft 4 according to this embodiment. With such a construction, a substrate W held by substrate holder 3 rotates via the main shaft 4 by actuation of the motor 62. The  
5 motor 62 constitutes a rotating mechanism for rotating the substrate holder 3 and the substrate W. Processing head 12 has a circular horizontal cross-section with approximately the same diameter as the substrate W. The processing head 12 has an ultrasonic transducer 64 mounted to a lower surface thereof. The  
10 ultrasonic transducer 64, as with the processing head 12, has a circular horizontal cross-section and is disposed such that it faces the substrate W held by the substrate holder 3.

A processing liquid introduction port 66 for introducing a processing liquid 2 to the substrate W is formed in a center  
15 of the processing head 12. The processing liquid introduction port 66 is open in a center of the ultrasonic transducer 64. The processing liquid introduction port 66 communicates with a through-hole 70 provided in a support shaft 68 and arm 11, and the through-hole 70 in turn communicates via a pipe 72 to a  
20 processing liquid supply source 74 in which the processing liquid 2 is stored. With such construction, the processing liquid 2 stored in the processing liquid supply source 74 is supplied through the pipe 72, the through-hole 70 and the processing liquid introduction port 66 onto the substrate W.

25 A micro-bubble generator 76 for generating micro-bubbles in the processing liquid 2 is housed in the processing liquid supply source 74. The micro-bubble generator 76 is designed to generate micro-bubbles having a diameter of not more than 20  $\mu\text{m}$ ,

preferably 1 to 10  $\mu\text{m}$ , and an internal pressure of not lower than atmospheric pressure. Specific examples of the micro-bubble generator 76 are as follows:

(1) Two-fluid nozzle

5           The two-fluid nozzle has a liquid introduction hole that is open in a mixing chamber, and a gas introduction hole adjacent the liquid introduction hole. A pressurized liquid (processing liquid) is jetted vigorously from the liquid introduction hole into the mixing chamber. Due to a negative pressure produced  
10 by fluid energy of this jetted liquid, a gas is introduced by suction from the gas introduction hole into the mixing chamber. The gas is mixed into a flow of the liquid, whereby a gas/liquid mixed flow containing micro-bubbles is formed.

(2) Gas diffuser with a porous material

15           A porous material, such as an air stone, has a large number of small pores communicating with each other, and part of the pores are open in a surface of the porous material. When a gas is introduced into the porous material which is immersed in a liquid, the gas passes through the small pores and is discharged  
20 as fine bubbles from the surface of the porous material into the liquid. It is, therefore, possible to generate micro-bubbles having a desired diameter by using an appropriate small pore diameter. A membranous gas diffusing material may also be used as the porous material.

25 (3) Gas/liquid stirrer

          The gas/liquid stirrer includes a stirring member, such as a screw, disposed in a liquid, and rotates the stirring member at a high speed while supplying a gas into the liquid, thereby

stirring the gas present as gas bubbles in the liquid. As a result, the gas bubbles in the liquid are made finer and become micro-bubbles.

The micro-bubble generator 76 is connected to a gas supply  
5 source 78, and micro-bubbles are generated with the micro-bubble generator 76 using a gas supplied from the gas supply source 78. According to this embodiment, ozone ( $O_3$ ), oxygen difluoride ( $F_2O$ ), carbon dioxide ( $CO_2$ ), a mixed gas of ozone and carbon dioxide, and the like are preferably used as the gas for forming  
10 micro-bubbles. The gas may be appropriately selected depending upon a type of material to be processed.

For example, ozone is used for removal of an organic material, such as a polymer or a resist material. Ozone has a strong oxidizing power and can decompose the organic material  
15 into  $CO_2$  and the like, thereby removing the organic material. Oxygen difluoride is used for removal of an unnecessary metal, such as Cu or Al, remaining on the substrate W. Oxygen difluoride has a strong oxidizing power and can dissolve and remove the metal, such as Cu or Al. Ozone can be used also for removal of a metal.

20 A mixed gas of ozone and carbon dioxide is used for removal of a polymer adhering to a device portion (fine processing portion) formed on a surface of the substrate W. A processing liquid, in which carbon dioxide is mixed, can be used as a post-polishing rinsing liquid. The pressing liquid containing  
25 carbon dioxide, as compared to a pure water rinsing liquid, can prevent generation of static electricity. Accordingly, the processing liquid can prevent the device portion formed in the substrate W from being electrically charged.



Next, operation of the substrate processing apparatus having the above construction will be described.

First, motor 14 is driven to move the processing head 12 to a position above the substrate W held by the substrate holder  
5 3. The processing head 12 is then moved downwardly by air cylinder 16 to thereby bring the ultrasonic transducer 64 close to the surface of the substrate W. At this time, the micro-bubble generator 76 is driven to generate micro-bubbles in the processing liquid 2 stored in the processing liquid supply source  
10 74.

Next, the motor 62 is driven to rotate the substrate W while the processing liquid 2 containing micro-bubbles is supplied from the processing liquid supply source 74 and through the processing liquid introduction port 66 onto the substrate W. Due  
15 to rotation of the substrate W, the processing liquid 2 spreads radially and outwardly on the substrate W and finally flows out from a peripheral end of the substrate W. The processing liquid 2 which has flowed out from the substrate W is recovered in vessel 5. Ultrasonic waves are emitted from the ultrasonic transducer  
20 64 toward the processing liquid 2 present between the substrate W and the ultrasonic transducer 64.

When the ultrasonic waves are applied to the micro-bubbles, the micro-bubbles are stirred and diffuse throughout the processing liquid 2. Some of the micro-bubbles are collapsed  
25 by application of ultrasonic waves, whereby micro jet flows are formed in the processing liquid 2. A processing object adhering to the substrate W can be removed by utilizing physical energy of the micro jet flows. When the micro-bubbles are collapsed,

gas forming the micro-bubbles, such as ozone, dissolves at a high concentration in the processing liquid 2. A processing object on the substrate W can be removed by utilizing chemical properties of the gas.

5           Micro-bubbles floating in the processing liquid 2, without being collapsed, can be utilized to remove particles floating in the processing liquid 2 and particles remaining on the substrate W. Thus, by utilizing an electrical charge of the micro-bubbles, particles can be adsorbed on surfaces of the  
10 micro-bubbles and removed. Further, since the ultrasonic waves are reflected diffusely on the surfaces of the micro-bubbles, the ultrasonic waves can be applied to a fine processing portion (device) formed in the substrate W. Thus, according to the substrate processing apparatus of this embodiment, various  
15 processing objects, such as particles, a metal and an organic material, can be removed from the substrate W with a high efficiency by a combination of a cleaning effect of micro-bubbles and the cleaning effect of ultrasonic waves.

As with the preceding embodiment, a frequency of the  
20 ultrasonic waves emitted from the ultrasonic transducer 64 is set at 5 to 100 MHz, preferably 10 to 50 MHz.

Though in this embodiment the processing liquid 2 containing micro-bubbles is supplied to the substrate W, it is also possible to supply processing liquid 2 not containing  
25 micro-bubbles to the substrate W, and then generate micro-bubbles of ozone by electrolysis in the processing liquid. In this case, a conductive diamond or lead dioxide ( $\text{PbO}_2$ ) is preferably used for an anode. Further, in order to make a

diameter of gas bubbles smaller, a surfactant is mixed in the processing liquid, or a pulse voltage is applied between an anode and a cathode.

It is also possible to provide a drying device to the  
5 substrate processing apparatus and perform drying subsequently  
to cleaning processing. For example, the main shaft 4 (see FIG.  
13) may be rotated at a high speed after the cleaning processing  
for centrifugal drying of the substrate W on the substrate holder  
3. It is possible to perform rinsing processing between the  
10 cleaning processing and drying to remove the processing liquid  
which adhered to the substrate W during the cleaning processing.  
For example, a rinsing liquid, such as ultrapure water, may be  
supplied from the processing liquid introduction port 66 (see  
FIG. 13) onto the substrate W, thereby replacing the processing  
15 liquid adhering to the substrate W with the rinsing liquid. It  
is preferred, also in the rinsing processing, to generate  
micro-bubbles in the rinsing liquid and apply ultrasonic waves  
to the rinsing liquid. Further, though in this embodiment only  
a front surface (upper surface) of the substrate W is subjected  
20 to the cleaning processing, it is also possible to provide a  
processing liquid introduction port, an ultrasonic transducer,  
and the like also on a back surface (lower surface) side of the  
substrate W so as to clean not only the front surface but also  
the back surface of the substrate W. Also in this case, the  
25 rinsing processing may be performed after the cleaning  
processing. Further, the drying may be performed between the  
cleaning processing and the rinsing processing.

According to the present invention, various processing

objects, such as particles, a metal and an organic material, can be removed from a substrate with a high efficiency by a combination of a cleaning effect of micro-bubbles and a cleaning effect of ultrasonic waves.

5           FIG. 14 shows a substrate processing apparatus, which is utilized as an etching apparatus, according to yet another embodiment of the present invention. The substrate processing apparatus (etching apparatus) includes a substrate holder 210 for detachably holding and rotating a substrate W with its  
10   processing surface facing downwardly. The substrate holder 210 includes a circular substrate stage 212 and a plurality of support pins 214 mounted vertically on a peripheral portion of the substrate stage 212. Each support pin 214 has at a top end a gripper (not shown), such as a chucking claw, for detachably  
15   holding the substrate W by gripping a peripheral portion of the substrate W.

          The substrate stage 212 is coupled to an upper end of a hollow main shaft 216. The main shaft 216 is designed to be rotated by a first drive mechanism 226 which includes a driven  
20   pulley 218 mounted to a lower end of the main shaft 216, a driving pulley 222 mounted to a motor 220, and a timing belt 224 extending between the pulleys 218, 222. By actuation of the motor 220 of the first drive mechanism 226, the substrate holder 210 holding the substrate W rotates together with the substrate W.

25           Positioned slightly below the substrate W held by the substrate holder 210, a circular rotary plate 230 having a slightly smaller diameter than the substrate W is disposed parallel to the substrate W. Thus, a first processing space 232

with a distance A is formed between a lower surface (processing surface) of the substrate W held by the substrate holder 210 and an upper surface of the rotary plate 230. The rotary plate 230 is coupled to an upper end of a rotating shaft 234 extending inside  
5 the main shaft 216. The rotating shaft 234 is designed to be rotated by a second drive mechanism 244 which includes a driven pulley 236 mounted to a lower end of the rotating shaft 234, a driving pulley 240 mounted to a motor 238, and a timing belt 242 extending between the pulleys 236, 240.

10 The distance A between the substrate W and the rotary plate 230 may be set arbitrarily depending upon an amount of processing fluid supplied to the first processing space 232 so that the first processing space 232 is filled with the processing fluid.

In a central portion of the rotating shaft 234, a  
15 through-hole 234a, extending in an axial direction and vertically penetrating the rotating shaft 234, is provided as a first fluid supply section for introducing an etching liquid (first processing fluid) Q supplied from an etching liquid supply source 235 into the first processing space 232.

20 Positioned above the substrate holder 210 is disposed a counter plate 248 which is vertically movable and extends downwardly at its peripheral portion and which, when lowered, surrounds an upper surface and a side of the substrate W held by the substrate holder 210, and forms a second processing space  
25 246 with a distance B between it and the substrate W held by the substrate holder 210.

In a center of the counter plate 248, a through-hole 248a vertically penetrating the counter plate 248 is provided as a

second fluid supply section for introducing air (second processing fluid) supplied from an air supply source 249 into the second processing space 246.

Next, a description will be given of etching processing  
5 performed by this etching apparatus.

First, when the counter plate 248 is in a raised position, a substrate W is held by the support pins 214 of the substrate holder 210. Thereafter, the counter plate 248 is lowered to a predetermined position. The first drive mechanism 226 is driven  
10 to rotate the substrate W together with the substrate holder 210 and, at the same time, the second drive mechanism 244 is driven to rotate the rotary plate 230. The substrate W and the rotary plate 230 are preferably rotated in opposite directions so as to increase a relative movement speed between the substrate W  
15 and the rotary plate 230. This enhances uniformity of a diffusion layer on a processing surface of the substrate W. From a viewpoint of preventing damage to the substrate W, it is preferred to use a low rotational speed for the substrate W and a high rotational speed for the rotary plate 230. In a case of  
20 rotating the substrate W and the rotary plate 230 in the same direction, their rotational speeds should be made different so as to produce a relative movement speed between them.

While thus rotating the substrate W and the rotary plate 230 preferably in opposite directions, the etching liquid Q, for  
25 example DHF in a case of etching of SiN, is passed through the through-hole 234a provided centrally in the rotating shaft 234 and jetted toward the processing surface (lower surface) of rotating substrate W held by the substrate holder 210, thereby

introducing the etching liquid Q into the first processing space 232 formed between the substrate W and the rotary plate 230.

By thus jetting the etching liquid Q toward the rotating substrate W held by the substrate holder 210, etching can be performed, in principle, in a spin-etching manner. Further, by filling the first processing space 232, formed between the substrate W held by the substrate holder 210 and the rotary plate 230, with the etching liquid Q and causing the etching liquid Q, which has passed through the first processing space 232, to scatter out by centrifugal force from a peripheral end of the substrate W, as shown in FIG. 15A, contact between air and a surface of the etching liquid Q in the first processing space 232 can be prevented as much as possible, thereby preventing a liquid surface from becoming wavy. This prevents uneven etching in a peripheral region of the substrate W even when the substrate W is rotated at a high speed. Further, by rotating the substrate W and the rotary plate 230 in such a manner that a relative movement speed is produced between them, an effect of rotation of the substrate W produced in immersion wet etching can be produced also in spin etching, thereby enhancing uniformity of etching processing of the substrate W.

Simultaneously with the above operation, air (dry air) is introduced through the through-hole 248a provided in the counter plate 248 into the second processing space 246 formed between a non-processing surface (upper surface) of the substrate W, held by the substrate holder 210, and the counter plate 248, and the air is caused to flow along the non-processing surface (upper surface) toward a periphery of the substrate W. This prevents

the etching liquid Q from intruding over a peripheral end surface onto the non-processing surface (upper surface) of the substrate W.

It is not always necessary that the first processing space 232, formed between the substrate W and the rotary plate 230, be completely filled with a processing fluid such as the etching liquid Q. As shown in FIG. 15B, even if an air-intrusion space S is formed in a portion, corresponding to a peripheral portion of the substrate W, of the etching liquid Q, waving of a surface of the etching liquid Q can be avoided and uneven etching can be prevented, provided pressure in the air-intrusion space S is lower than atmospheric pressure.

After completion of etching, supply of the etching liquid Q into the first processing space 232 and supply of air into the second processing space 246 are stopped, and then rotation of the substrate W and rotation of the rotary plate 230 are stopped, and the counter plate 248 is raised. Thereafter, the substrate W after etching is taken, for example, by a robot hand from the support pins 214 of the substrate holder 210, and sent to a next process step.

Though in this embodiment air (dry air) is introduced into the second processing space 246 formed between the substrate W and the counter plate 248, it is also possible to introduce an etching liquid into the second processing space 246. Thus, an etching liquid may be jetted also onto the upper surface of the rotating substrate W held by the substrate holder 210 so as to perform etching of both front and back surfaces of the substrate W simultaneously.



FIG. 16 shows a substrate processing apparatus, which is utilized as an etching apparatus, according to yet another embodiment of the present invention. This embodiment differs from the embodiment shown in FIG. 15 in the following respects.

5 The second processing space 246 is formed between the substrate W held by the substrate holder 210 and a disk-like counter plate 248 having approximately the same size as the substrate W. The counter plate 248 is coupled to a lower end of a second rotating shaft 260 which is designed to rotate by a third drive mechanism  
10 258 which includes a driven pulley 250 mounted to an upper end of the second rotating shaft 260, a driving pulley 254 mounted to a motor 252, and a timing belt 256 extending between the pulleys 250, 254. Further, in a central portion of the second rotating shaft 260 is provided a through-hole 260a, extending in an axial  
15 direction and vertically penetrating the second rotating shaft 260, as a second fluid supply section for introducing an etching liquid (second processing fluid) supplied from a second etching liquid supply source 262 into the second processing space 246. Other construction is the same as the embodiment shown in FIG.  
20 14.

According to this embodiment, as with the preceding embodiment, while rotating the substrate W and rotary plate 230 preferably in opposite directions, an etching liquid (first processing fluid) is passed through through-hole 234a provided  
25 centrally in rotating shaft 234 and jetted toward one processing surface (lower surface) of the rotating substrate W held by substrate holder 210 to perform etching of the processing surface (lower surface); at the same time, while rotating the counter

plate 248 preferably in a direction opposite to a rotating direction of the substrate W, an etching liquid (second processing fluid) is passed through the through-hole 260a provided centrally in the second rotating shaft 260 and jetted  
5 toward another processing surface (upper surface) of the rotating substrate W held by the substrate holder 210 to perform etching of this processing surface (upper surface). Simultaneous etching of both the front and back surfaces of the substrate W can thus be performed with enhanced uniformity of  
10 etching over the processing surfaces in their entirety.

Optimum rotating directions and rotational speeds of the substrate W, the rotary plate 230 and the counter plate 248 respectively during etching, rinsing and drying steps may be determined depending upon respective processing conditions.

15 This embodiment is useful especially in a case where simultaneous etching of both front and back surfaces of a substrate W is necessary and a high level of etching uniformity is required for both surfaces. In particular, rotational speeds of the rotary plate 230 and the counter plate 248 may preferably  
20 be controlled independently, whereby relative rotational speeds therebetween and the rotating substrate W held by the substrate holder 210 can be optimized.

FIG. 17 shows a substrate processing apparatus, which is utilized as an etching apparatus, according to yet another  
25 embodiment of the present invention. This embodiment differs from the embodiment shown in FIG. 14 in the following respects. The substrate holder 210 holds and rotates a substrate W with its processing surface facing upwardly. Rotary plate 230, which

is rotatable by second drive mechanism 244, is disposed above the substrate W held by the substrate holder 210, so that first processing space 232 is formed between the substrate W and the rotary plate 230 on an upper surface side of the substrate W.

5 Further, a disk-like counter plate 248 is disposed below the substrate W held by the substrate holder 210, so that second processing space 246 is formed between the substrate W and the counter plate 248 on a lower surface side of the substrate W. The counter plate 248 is coupled to an upper end of a fixed shaft

10 264. Inside the fixed shaft 264 is provided a through-hole 264a, vertically extending and penetrating the fixed shaft 264, as a second fluid supply section for introducing air (second processing fluid) supplied from air supply source 249 into the second processing space 246. Other construction is the same as

15 that shown in FIG. 14. Further, etching processing is also performed in the same manner, and hence a description thereof is omitted.

According to this embodiment, etching of a processing surface (upper surface) of a substrate W is performed while the

20 substrate is held with the processing surface facing upwardly. This makes it possible, for example, to hand over a substrate, which has been transferred with its processing surface facing upwardly, directly to the substrate holder 210, i.e. without 180°-rotating the substrate, to perform etching of the

25 substrate.

FIG. 18 is a schematic plan view showing a substrate processing system provided with an etching apparatus (substrate processing apparatus) as described above. As shown in Fig. 18,

the substrate processing system includes two substrate cassettes 151a, 151b for housing substrates W, etching apparatus (substrate processing apparatus) 152 for etching a substrate W, a substrate cleaning apparatus 153 for cleaning the substrate W after etching, and a substrate drying apparatus 154 for drying the substrate W after cleaning. The substrate processing system also includes a first transfer robot 155a and a second transfer robot 155b for transferring the substrate W between the above apparatuses, and a buffer stage 156 for temporarily placing the substrate W thereon.

The substrate cassettes 151a, 151b are each provided with a plurality of cabinets (not shown) for housing substrates W, and one substrate W as a processing object is housed in each cabinet. The substrates W housed in the substrate cassettes 151a, 151b are removed, one by one, by the first transfer robot 155a, and each substrate W is handed over to the second transfer robot 155b via the buffer stage 156. Substrate W is then transferred by the second transfer robot 155b to the etching apparatus 152, where the substrate W is held by substrate holder 210 (see, for example, FIG. 14) and etching of the substrate W is performed.

When copper adhering to or formed on the substrate W, for example, is an etching object in etching processing, a combination of an acid solution and an oxidizing agent solution may preferably be used as an etching solution. Any non-oxidative acid may be used as the acid solution, and examples include hydrofluoric acid, hydrochloric acid, sulfuric acid, citric acid, oxalic acid, nitric acid, and a mixed solution thereof. Examples of the oxidizing agent solution include ozone water, a hydrogen

peroxide solution, a nitric acid solution, and a sodium hypochlorite solution. Hydrofluoric acid, for example, may be used as an etching liquid for etching of a  $\text{SiO}_2$  film. A mixed solution of hydrofluoric acid and hydrochloric acid, for example, 5 may be used as an etching liquid for etching of a  $\text{SiN}$  film. Further, a mixed solution of hydrofluoric acid and nitric acid, for example, may be used for etching of a poly-silicon film. For a pre-electroless plating processing, sulfuric acid, citric acid, oxalic acid, TMAH,  $\text{NH}_4\text{OH}$ , or the like may be used.

10 After etching processing in the etching apparatus 152, the substrate W is carried by the second transfer robot 155b into the substrate cleaning apparatus 153. The substrate cleaning apparatus 153 is provided with a roll sponge (not shown) for cleaning the substrate W, and is designed to clean a substrate 15 by bringing the roll sponge into contact with the substrate while holding and rotating the substrate. A product produced by the etching processing, and the like can be cleaned by the substrate cleaning apparatus 153. The substrate W after cleaning is transferred by the second transfer robot 155b from the substrate 20 cleaning apparatus 153 to the substrate drying apparatus 154. The substrate drying apparatus 154 includes a spin-drying section (not shown) for rotating the substrate W at a high speed so as to dry the substrate W. A cleaning liquid, and the like adhering to the substrate W can be removed by the spin-drying 25 section. The substrate W after drying is transferred by the first transfer robot 155a and returned to the substrate cassette 151a or 151b, to thereby complete a series of process steps.

As described hereinabove, the substrate processing apparatus of the present invention, in principle, performs spin

processing by supplying a first processing fluid, such as an etching liquid, from a first fluid supply section to a rotating substrate held by a substrate holder. By holding the processing fluid, supplied from the first fluid supply section, between the

5 substrate held by the substrate holder and a rotary plate and preventing contact between the processing fluid and air as much as possible, uneven processing in a peripheral region of the substrate can be avoided even when a rotational speed of the substrate is high. Further, by producing an effect of rotation

10 of the substrate in immersion processing also during spin processing, uniformity of processing, such as etching, of the substrate can be enhanced.